

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY DOCKET NUMBER  
98RO12954170

U.S. APPLICATION NO. **09/914315**

INTERNATIONAL APPLICATION NO.  
PCT/FR00/00465

INTERNATIONAL FILING DATE  
24 FEBRUARY 2000

PRIORITY DATE CLAIMED  
25 FEBRUARY 1999

TITLE OF INVENTION: SECURED ACCESS DEVICE WITH CHIP CARD APPLICATIONS

APPLICANT(S) FOR DO/EO/US: Jacques SONZOGNI, Mark TRIMMER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ 3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- ☒ 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☒ 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)):
- ☐ a. is transmitted herewith (required only if not transmitted by the International Bureau).
  - ☒ b. has been transmitted by the International Bureau.
  - ☐ c. is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☒ 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☒ 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)):
- ☐ a. are transmitted herewith (required only if not transmitted by the International Bureau).
  - ☐ b. have been transmitted by the International Bureau.
  - ☐ c. have not been made; however, the time limit for making such amendments has NOT expired.
  - ☒ d. have not been made and will not be made.
- ☒ 8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☒ 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☒ 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern document(s) or information included:

- ☒ 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☒ 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ 13. A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
- ☒ 14. A substitute specification.
- ☐ 15. A change of power of attorney and/or address letter.
- ☒ 16. Other items or information:

Marked up version of substitute specification.

Submission of Proposed Modifications to Drawings.

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

"EXPRESS MAIL" MAILING LABEL NUMBER **9274705939605**

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I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20031

**Jennifer Ferguson**  
(TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)

**Jennifer Ferguson**  
(SIGNATURE OF PERSON MAILING PAPER OR FEE)

U.S. APPLICATION NO.

09/9.14 305

INTERNATIONAL APPLICATION NO.

PCT/FR00/00465

ATTORNEY'S DOCKET NUMBER

8RO12954170

<u>X</u> 17. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):				CALCULATIONS (PTO USE ONLY)	
Search Report has been prepared by the EPO or JPO				\$860.00	
International preliminary examination fee paid to USPTO (37 CFR 1.482)				\$750.00	
No international preliminary exam fee paid to USPTO but int'l search fee paid to USPTO (37 CFR 1.445(a)(2))				\$700.00	
Neither international preliminary examination fee nor int'l search fee (37 CFR 1.445(a)(2)) paid to USPTO				\$970.00	
International preliminary examination fee paid to USPTO and all claims satisfied provisions of PCT Article 33(2)-(4)				\$96.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$860.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than ____ 20 ____ 30 months from the earliest claimed priority date (37 CFR 1.492(e)). The filing fee has been calculated according to the Preliminary Amendment filed herewith as shown below:				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	32 - 20 =	12	x \$18.00	\$216.00	
Independent claims	4 - 3 =	1	x \$80.00	\$80.00	
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+ \$270.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$1,156.00	
SUBTOTAL =				\$1,156.00	
Processing fee of \$130.00 for furnishing the English translation later than ____ 20 ____ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$1,156.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$40.00	
TOTAL FEES ENCLOSED =				\$1,196.00	
				Amount to be: refunded	\$
				charged	\$

- a. X A check in the amount of \$ 1,196.00 to cover the above fees is enclosed.
- b. \_\_\_\_ Please charge my Deposit Account No. \_\_\_\_ in the amount of \$ \_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-0484.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

[X] PLEASE ADDRESS ALL CORRESPONDENCE TO ATTORNEY OF RECORD: CHRISTOPHER F. REGAN

[X] Associate this file with Customer No. 27975.



PATENT TRADEMARK OFFICE

27975

SIGNATURE

MICHAEL W. TAYLOR

NAME

43,182

REGISTRATION NUMBER

no/914315

JCO3 Rec'd PCT/PTO 24 AUG 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
SONZOGNI ET AL. )  
Serial No. Not yet assigned )  
Filing Date: Herewith )  
For: SECURED ACCESS DEVICE WITH )  
CHIP CARD APPLICATIONS )

SUBMISSION OF PROPOSED MODIFICATIONS TO DRAWINGS

Director, U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Submitted herewith is a request for a proposed drawing modification as indicated in red ink to label FIG. 1 as prior art. A second register CS discussed in the application is being added to the microprocessor block 200 in FIG. 2 as indicated in red ink. No new matter is being added.

Respectfully submitted,

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Jennifer Ferguson  
(TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)

Jennifer Ferguson  
(SIGNATURE OF PERSON MAILING PAPER OR FEE)

Michael W. Taylor  
MICHAEL W. TAYLOR  
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Orlando, Florida 32802  
(407) 841-2330

VERIFICATION OF TRANSLATION

Re : INTERNATIONAL APPLICATION N° PCT/FR 00/00465

I, Noël CASTELINO

Of 18, rue de Grez  
77250 MORET S/LOING - FRANCE

hereby declare that I am conversant with the French and the English languages and that, to the best of my knowledge and belief, the following is a true and correct English translation of the above-referenced patent application

Done at Moret/Loing the 16th day of August 2001

Noël Castelino

Signature

Singapore  
Docket : 61872FR

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Jennifer Ferauson

(TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)

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